

IN THE U.S. PATENT AND TRADEMARK OFFICE Atty Docket No. 107.823.129

JAN 26 2001

TECH CENTER 1600/2900

| In Re | | | | |
|--------------------------|--|------|-----------------|---------------|
| Application of: | Madiyalakan et al. |) | Art Unit: | 1642 |
| Serial Number: | 09/376,604 |) | Examiner: | M. Tran |
| Filed: | August 18, 1999 |) | | |
| For: | THERAPEUTIC COMPOSITIONS |) | | |
| | THAT ALTER THE IMMUNE |) | | |
| | RESPONSE |) | | |
| ****** | ************* | *** | ***** | ****** |
| | CERTIFICATE OF MAILING UNDER | 37 | C.F.R. §1.10 | |
| States Posta §1.10 on | tify that the attached papers and fees are beil Service as "Express Mail Post Office to Add Tarwy 19, 2001 and is addressed to: BOX Co., D.C. 20231. | lres | see" Service un | der 37 C.F.R. |
| Elgur | o709h35US | • | Then H | taines |
| | ail" Label No. | - | Diana Hay | vens |
| TVD1699 IAI | un capantal. | | | - C. I.O |

Box CPA Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Commissioner:

Enclosed herewith for appropriate action by the United States Patent and Trademark Office are the following documents:

- 1. Request for filing of a CPA Pursuant to 37 C.F.R. §1.53(d);
- 2. Petition for a one month extension of time;
- 3. Fee Transmittal
- 4. Postage paid return postcard.

U.S.S.N. 09/376,604 Page 2

No fees are believed to be due in connection with this communication. However, please apply any additional charges, or credit any overpayment, to our Deposit Account No. 08-0219.

Respectfully submitted, HALE AND DORR LLP

Date: January 19, 2001

Nancy Chiu, Ph.D. Registration No. 43,545

Agent for Applicant

60 State Street Boston, MA 02109 (617) 526-6000 (617) 526-5000 (fax)

diana havens - h:\chiu_nancy\legal\docs\altarex\107823.129\trans ltr cpa and 1mon ext.doc

61-2201

JAN 19 2001 W

IN THE U.S. PATENT AND TRADEMARK OFFICE Atty Docket No. 107.823.129

RECEIVED

In Re (
Application of: Madiyalakan et al.

Art Unit: 1642 TECH CENTER 1600/2900

Serial Number: 09/376,604

) Examiner: M. Tran

Filed:

August 18, 1999

For:

THERAPEUTIC COMPOSITIONS
THAT ALTER THE IMMUNE

RESPONSE

F9 K9

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that the attached papers and fees are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on Savey 14, 2001 and is addressed to: BOX CPA, Commissioner for Patents, Washington, D.C. 20231.

EL540709635US

"Express Mail" Label No.

(Tales.

Diana Havens

Box CPA

Commissioner for Patents Washington, D.C. 20231

1/24/2001 WKORUNA 00000053 080219 1 FC:231 355.00 CH 2 FC:202 200.00 CH

02 FC:202 03 FC:203 04 FC:204

200.00 CH 1917.00 CH 135.00 CH

FILING OF CONTINUED PROSECUTION APPLICATION PURSUANT TO 37 C.F.R. § 1.53(d)

Dear Commissioner:

This is a request for filing of a Continued Prosecution Application ("CPA") under 37 C.F.R. §1.53(d) for U.S.S.N. 09/376,604, filed on August 18, 1999 and entitled "THERAPEUTIC COMPOSITIONS THAT ALTER THE IMMUNE RESPONSE," in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred. The named inventors, Ragupathy Madiyalakan, Antoine A. Noujaim, Bitgit Schultes, and Richard Baum, named in the prior application remain the same. No inventors are to be added or deleted in the CPA.

U.S.S.N. 09/376,604 Page 2

A Small Entity Statement was filed in the prior non-provisional application; and such status is still proper and desired.

This patent application is being submitted under 37 C.F.R. §1.53(d). Please charge our Deposit Account No. 08-0219, the basic filing fee of \$2607.00 pursuant to 37 C.F.R. §1.16(a-c). This fee reflects the Small Entity status of this application.

Applicants also enclose herewith a petition for a one month extension of time pursuant to 37 C.F.R. §1.36, up to and including January 22, 2001 to file this Continued Prosecution Application.

If there are any questions, please call the undersigned at the telephone number indicated below.

No fees are believed to be due in connection with this communication. However, please apply any additional charges, or credit any overpayment, to our Deposit Account No. 08-0219.

Respectfully submitted, HALE AND DORR LLP

Date: January 19, 2001

Nancy Chiu, Ph.D.

Registration No. 43,545 Agent for Applicant

60 State Street Boston, MA 02109 (617) 526-6000 (617) 526-5000 (fax)

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) | 2662 |
|------|------|
| (4) | 2004 |

| Complete if Known | | | | |
|----------------------|-----------------|--|--|--|
| Application Number | 09/376,604 | | | |
| Filing Date | August 18, 1999 | | | |
| First Named Inventor | Madiyalakan | | | |
| Examiner Name | M. Tran | | | |
| Group Art Unit | 1642 | | | |
| Attorney Docket No. | 107.823.129 | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | |
|---|--|--------------|--|--|--|
| The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | | | | |
| indicated fees and credit any overpayments to: Deposit | Large Small | | | | |
| Account Number 08/0219 | Entity Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe | Fee Paid | | | |
| Denosit | Code (\$) Code (\$) | | | | |
| Account Name Hale and Dorr LLP | 105 130 205 65 Surcharge - late filing fee or oath | | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | |
| 2. Payment Enclosed: | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | |
| Check Credit card Order Other | 113 1,840* 113 1,840* Requesting publication of SIR after | | | | |
| FEE CALCULATION | Examiner action 5 | 55 | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | " | | | |
| Large Entity Small Entity | 116 390 216 195 Extension for reply within second month | | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 890 217 445 Extension for reply within third month | | | | |
| 101 710 201 355 Utility filing fee 355 | 118 1,390 218 695 Extension for reply within fourth month | | | | |
| 106 320 206 160 Design filing fee | 128 1,890 228 945 Extension for reply within fifth month | | | | |
| 107 490 207 245 Plant filing fee | 119 310 219 155 Notice of Appeal | | | | |
| 108 710 208 355 Reissue filing fee | 120 310 220 155 Filing a brief in support of an appeal | | | | |
| 114 150 214 75 Provisional filing fee | 121 270 221 135 Request for oral hearing | | | | |
| | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | |
| SUBTOTAL (1) (\$) 355 | 140 110 240 55 Petition to revive - unavoidable | | | | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,240 241 620 Petition to revive - unintentional | | | | |
| Extra Claims below Fee Paid | 142 1,240 242 620 Utility issue fee (or reissue) | | | | |
| Total Claims 233 -20** = 213 × 9 = 1917 | 143 440 243 220 Design issue fee | | | | |
| Independent 8 - 3** = 5 x 40 = 200 | 144 600 244 300 Plant issue fee | | | | |
| Multiple Dependent 135 = 135 | 122 130 122 130 Petitions to the Commissioner | | | | |
| | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | | |
| 102 80 202 40 Independent claims in excess of 3 | 146 710 246 355 Filing a submission after final rejection | | | | |
| 104 270 204 135 Multiple dependent claim, if not paid | (37 ČFR § 1.129(a)) | | | | |
| 109 80 209 40 ** Reissue independent claims over original patent | 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 710 279 355 Request for Continued Examination (RCE) | | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | | |
| SUBTOTAL (2) (\$) 2607 | Other fee (specify) | | | | |
| **or number previously paid, if greater: For Paissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 266 | 2 | | | |

| SUBMITTED BY | | | Complete (if | Complete (if applicable) | | |
|-------------------|-------------------|--|--------------|--------------------------|--|--|
| Name (Print/Type) | Nancy Chių, Ph.D. | Registration No. (Attorney/Agent) 43,545 | Telephone | (617)526-6048 | | |
| Signature | Nyle | | Date | January 19.2001 | | |